# (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

# (19) World Intellectual Property Organization International Bureau





(43) International Publication Date 31 December 2003 (31.12.2003)

**PCT** 

# (10) International Publication Number WO 2004/001072 A3

- (51) International Patent Classification<sup>7</sup>: C12Q 1/68, G01N 33/50, C12Q 1/00, A61K 31/7088, 39/395, 39/00, 48/00
- (21) International Application Number:

PCT/JP2002/012760

- (22) International Filing Date: 5 December 2002 (05.12.2002)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/389,994

19 June 2002 (19.06.2002) US

- (71) Applicants (for all designated States except US): ON-COTHERAPY SCIENCE, INC. [JP/JP]; 2-1, Sakado 3-chome, Takatsu-ku, Kawasaki-shi, Kanagawa 213-0012 (JP). THE UNIVERSITY OF TOKYO [JP/JP]; 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 (JP).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): NAKAMURA, Yusuke [JP/JP]; 17-33, Azamino 1-chome, Aoba-ku, Yokohama-shi, Kanagawa 225-0011 (JP). FURUKAWA, Yolchi [JP/JP]; 10-40, Miyazaki 3-chome, Miyamae-ku, Kawasaki-shi, Kanagawa 216-0033 (JP).
- (74) Agents: SHIMIZU, Hatsushi et al.; Kantetsu Tsukuba Bldg. 6F, 1-1-1, Oroshi-machi, Tsuchiura-shi, Ibaraki 300-0847 (JP).

- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

### Declaration under Rule 4.17:

 as to non-prejudicial disclosures or exceptions to lack of novelty (Rule 4.17(v)) for all designations

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- with a declaration as to non-prejudicial disclosures or exceptions to lack of novelty
- (88) Date of publication of the international search report: 7 April 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

# (54) Title: METHOD FOR DIAGNOSIS OF COLORECTAL TUMORS

(57) Abstract: The invention provides objective methods for detecting and diagnosing colorectal cancers and pre-malignant lesions. For example, the methods disclosed herein can reliably detect very early-stage colorectal cancers. In one embodiment, the diagnostic method involves the scoring of gene expression profiles that discriminate between adenomas and carcinomas. The profile score calculated acts as diagnostic indicator that can objectively indicate whether a sample tissue is non-cancerous, pre-cancerous, or cancerous. The present invention further provides methods of diagnosing colorectal tumors in a subject, methods of screening for therapeutic agents useful in the treatment of colorectal tumors, methods of treating colorectal tumors and method of vaccinating a subject against colorectal tumors.





ir PCT/JP 02/12760

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12Q1/68 G01N33/50
A61K39/00 A61K48/00

C12Q1/00

A61K31/7088 A61K39/395

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

 $\begin{array}{ccc} \text{Minimum documentation searched} & \text{(classification system followed by classification symbols)} \\ \text{IPC 7} & \text{C12Q} & \text{G01N} \\ \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, BIOSIS, MEDLINE

0.000111	ENTS CONSIDERED TO BE RELEVANT	·			
Category *	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.		
Catalory	Challott of document, while an account where appropriately of the				
<b>X</b>	BUCKHAULTS P ET AL: "Secreted a surface genes expressed in bening malignant colorectal tumors" CANCER RESEARCH, AMERICAN ASSOC CANCER RESEARCH, BALTIMORE, MD, vol. 61, 1 October 2001 (2001-1) pages 6996-7001, XP002960006 ISSN: 0008-5472 page 6998, right-hand column, p figures 2,,5B; table 3	1-14, 16-19			
		-/			
X Fu	ther documents are listed in the continuation of box C.	Patent family members are listed	in annex.		
"Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the International filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed  Date of the actual completion of the international search		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "8" document member of the same patent family  Date of mailing of the international search report			
	27 October 2003	-Z 4; U	i, uo		
Name and	mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Authorized officer  Nichogiannopoulou, A			



Int Application No
PCT/JP 02/12760

	PC1/JP 02/12/60
Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to claim No.
ZHANG L ET AL: "Gene expression profiles in normal and cancer cells" SCIENCE, AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE, US, vol. 276, 23 May 1997 (1997-05-23), pages 1268-1272, XP002083785 ISSN: 0036-8075 table 4	1-14, 16-19
JI H ET AL: "A TWO-DIMENSIONAL GEL DATABASE OF HUMAN COLON CARCINOMA PROTEINS" ELECTROPHORESIS, WEINHEIM, DE, vol. 18, no. 3/4, 16 September 1996 (1996-09-16), pages 605-613, XP002071493 ISSN: 0173-0835 page 612, right-hand column, paragraph 1; table 1	1
REYMOND M A ET AL: "STANDARDIZED CHARACTERIZATION OF GENE EXPRESSION IN HUMAN COLORECTAL EPITHELIUM BY TWO-DIMENSIONAL ELECTROPHORESIS" ELECTROPHORESIS, WEINHEIM, DE, vol. 18, no. 15, 1 December 1997 (1997-12-01), pages 2842-2848, XP002071492 ISSN: 0173-0835 page 2846, right-hand column, paragraph 3; table 1	1
SCHWEINFEST C W ET AL: "Subtraction hybridization cDNA libraries from colon carcinoma and hepatic cancer" GENE ANALYSIS TECHNIQUES, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 7, 1990, pages 64-70, XP002089887 ISSN: 0735-0651 figure 1	1
EP 0 284 362 A (ICI PLC) 28 September 1988 (1988-09-28) page 8; tables 1-4	1
WO 98/42736 A (HOCHSTRASSER DENIS FRANCOIS; REYMOND MARC ANDRE (CH); PROTEOME SCI) 1 October 1998 (1998-10-01) figures 1-3	1
	in normal and cancer cells" SCIENCE, AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE, US, vol. 276, 23 May 1997 (1997-05-23), pages 1268-1272, XP002083785 ISSN: 0036-8075 table 4  JI H ET AL: "A TWO-DIMENSIONAL GEL DATABASE OF HUMAN COLON CARCINOMA PROTEINS" ELECTROPHORESIS, WEINHEIM, DE, vol. 18, no. 3/4, 16 September 1996 (1996-09-16), pages 605-613, XP002071493 ISSN: 0173-0835 page 612, right-hand column, paragraph 1; table 1  REYMOND M A ET AL: "STANDARDIZED CHARACTERIZATION OF GENE EXPRESSION IN HUMAN COLORECTAL EPITHELIUM BY TWO-DIMENSIONAL ELECTROPHORESIS" ELECTROPHORESIS, WEINHEIM, DE, vol. 18, no. 15, 1 December 1997 (1997-12-01), pages 2842-2848, XP002071492 ISSN: 0173-0835 page 2846, right-hand column, paragraph 3; table 1  SCHWEINFEST C W ET AL: "Subtraction hybridization cDNA libraries from colon carcinoma and hepatic cancer" GENE ANALYSIS TECHNIQUES, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 7, 1990, pages 64-70, XP002089887 ISSN: 0735-0651 figure 1  EP 0 284 362 A (ICI PLC) 28 September 1988 (1988-09-28) page 8; tables 1-4  WO 98/42736 A (HOCHSTRASSER DENIS FRANCOIS ;REYMOND MARC ANDRE (CH); PROTEOME SCI) 1 October 1998 (1998-10-01)





Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Although claims 5, 6 and 10-18 are directed to a method of treatment of the human/animal body, or to a diagnostic method practised on the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. Liaims Nos.:  because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple Inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the Invention first mentioned in the claims; it is covered by claims Nos.:
1-14, 16-19, all partially
Remark on Protest The additional search fees were accompanied by the applicant's protest.
No protest accompanied the payment of additional search fees.

# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

Invention 1: Claims 1-14, 16-19, all partially

Methods for diagnosing colorectal tumors, screening therapeutic agents, treating or preventing colorectal tumors, vaccinating a subject against colorectal tumors and vaccine compositions relating to the first gene listed in Table 1 (TGFBI).

Inventions 2-51: Claims 1-14, 16-19, all partially

Idem as subject 1 but relating to each of the genes listed in Table 1. Invention 2 relates to the second gene listed in Table 1 (IFITM2), invention 3 to the third one (CD81), and so forth.

Inventions 52-427: Claims 1-10 and 15, all partially

Idem as subject 1 but relating to each of the genes listed in Table 2. Invention 52 relates to the first gene listed in Table 2 (PFKFB3), invention 53 to the second one (ACHE), and so forth.

Inventions 428-459: Claims 4-14, 16-20, 22-24 all partially

Idem as subject 1 but relating to each of the genes listed in Table 3. Invention 428 relates to the first gene listed in Table 3 (IGLL2), invention 429 to the second one (XBP1), and so forth.

Inventions 460-477: Claims 4-14, 16-19, 21-24, all partially

Idem as subject 1 but relating to each of the genes listed in Table 4. Invention 460 relates to the first gene listed in Table 4 (COL1A1), invention 461 to the second one (CBX3), and so forth.

Inventions (further): Claims 1-24 all partially

Idem as subject 1 but relating to any combination of the genes listed in Tables 1-4. Each combination of genes is considered a further separate invention.



-	
١	Int
	PC1/JP 02/12760

					FUITUR	02/12/00
Patent document cited in search report		Publication date	•	Patent family member(s)		Publication date
EP 0284362	A	28-09-1988	AU	625169	B2	02-07-1992
			AU	1337888		22-09-1988
		•	DK	159788		24-09-1988
			EP	0284362		28-09-1988
			FI	881388		24-09-1988
			JP	1034291		03-02-1989
			NO	881273		26-09-1988
		•	NZ	223985		28-05-1991
	•		PT	87055		01-04-1988
•			ZA	8802082		28-12-1988
WO 9842736	Α	01-10-1998	. AT	259504		15-02-2004
•			ΑU	740707		15-11-2001
•			AU	6739698		20-10-1998
•			AU	726738		16-11-2000
•			AU	6739998		20-10-1998
	•		CA	. 2283640		01-10-1998
			CA	2284272		01-10-1998
			DE	69821604		18-03-2004
			DE	69821604		05-01-2005
		.•	DK	970377		14-06-2004
			EP	0971951		19-01-2000
			EP	0970377		12-01-2000
•			ES	2217541		01-11-2004
			WO	9842736		01-10-1998
			MO	9843091		01-10-1998
			JP	2001526644		18-12-2001
			JP	2001521631		06-11-2001
•			PT	970377		30-06-2004
			US	2002150569	3 Al	17-10-2002